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| APPLICATION NO.  | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO.  |
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| 10/706,657   | 11/12/2003  | Paul D. Stultz       | 016295.1472         | 8618              |
| 7590   | 06/10/2009  |                      | EXAMINER            |                   |
| Roger Fulghum<br>Baker Botts L.L.P.<br>One Shell Plaza<br>910 Louisiana Street<br>Houston, TX 77002-4995 |             |                      |                     | HASSAN, AURANGZEB |
|  |             | ART UNIT             |                     | PAPER NUMBER      |
|  |             | 2182                 |                     |                   |
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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

|                              |                        |                     |  |
|------------------------------|------------------------|---------------------|--|
| <b>Office Action Summary</b> | <b>Application No.</b> | <b>Applicant(s)</b> |  |
|                              | 10/706,657             | STULTZ, PAUL D.     |  |
|                              | <b>Examiner</b>        | <b>Art Unit</b>     |  |
|                              | AURANGZEB HASSAN       | 2182                |  |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

1) Responsive to communication(s) filed on 13 April 2009.  
 2a) This action is **FINAL**.                    2b) This action is non-final.  
 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

4) Claim(s) 1,3,4,7,10-14,16-18 and 20 is/are pending in the application.  
 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.  
 5) Claim(s) \_\_\_\_\_ is/are allowed.  
 6) Claim(s) 1,3,4,7,10-14,16-18 and 20 is/are rejected.  
 7) Claim(s) 15 is/are objected to.  
 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

9) The specification is objected to by the Examiner.  
 10) The drawing(s) filed on \_\_\_\_\_ is/are: a) accepted or b) objected to by the Examiner.  
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
 Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  
 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
 a) All    b) Some \* c) None of:  
 1. Certified copies of the priority documents have been received.  
 2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

1) Notice of References Cited (PTO-892)  
 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  
 3) Information Disclosure Statement(s) (PTO/SB/08)  
 Paper No(s)/Mail Date \_\_\_\_\_.  
 4) Interview Summary (PTO-413)  
 Paper No(s)/Mail Date. \_\_\_\_\_.  
 5) Notice of Informal Patent Application  
 6) Other: \_\_\_\_\_.

## **DETAILED ACTION**

### ***Continued Examination Under 37 CFR 1.114***

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 4/13/2009 has been entered.

### ***Claim Rejections - 35 USC § 103***

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 1, 4 and 6 are rejected under 35 U.S.C. 103(a) as being unpatentable over Murty et al. (US Publication Number 2003/0046464, hereinafter "Murty") in view of Hyatt (US Patent Number 4,370,720), further in view of Duncan et al. (US Patent Number 7,099,978, hereinafter "Duncan").

4. As per claim 1, Murty teaches an information handling system (element 100, figure 1), comprising: a plurality of processors (logical processors, elements 120(1)-

120(n), figure 1) coupled to a processor bus (channel, element 180, figure 1, bus, element 254, figure 2); and a memory (memory, element 160, figure 1); wherein an interrupt handling processor of the plurality of processors is assigned to perform processing tasks associated with an interrupt (paragraph [0026]), wherein each of the processors is operable to enter an interrupt mode (interrupt handler, element 170, figure 1) and be released from the interrupt mode so as to reduce contention by the processors for system resources upon release from the interrupt mode (exit the interrupt-handler, paragraphs [0027 and 0049]), and wherein the processors are operable to be released from the interrupt mode according to a predetermined time delay following the release of each successive processor from the interrupt mode (releasing from interrupt mode at a time, paragraph [0046]), and wherein the interrupt handling processor assigned to perform the processing tasks associated with the interrupt is operable to initiate the release of every other processor from interrupt mode on a timed release (time for resetting and release, paragraph [0046]) basis following the completion by the interrupt handling processor of the processing tasks associated with the interrupt (flags dictate release of processors, paragraphs [0044-0045]).

Murty does not explicitly disclose the processors not handling the interrupt labeled as non-interrupt handling processors.

Hyatt teaches a system wherein the non-interrupt handling processor is operable to enter an interrupt mode and be released, wherein the interrupt handling processor assigned to perform the processing tasks associated with the interrupt exits from

interrupt mode following the release of the non-interrupt handling processors from interrupt mode (column 20, lines 1 – 25).

It would have been obvious to one of ordinary skill in the art at the time of the applicant's invention to modify Murty with the above teachings of Hyatt. One of ordinary skill would have been motivated to make such modification in order to enhance computational alignment (column 3, lines 60 – 67).

Murthy/Hyatt does not explicitly teach the serial release of the processors.

Duncan analogously teaches a serial release from interrupt mode of the non-interrupt handling processors (stopped via primary processor according to a flag along the remaining simultaneous daisy chained processors, figure 3, column 7, lines 1 – 11).

It would have been obvious to one of ordinary skill in the art at the time of the applicant's invention to modify Murty/Hyatt with the above teachings of Duncan. One of ordinary skill would be motivated to make such modification in order to enhance device I/O functionality (column 1, lines 26 – 50).

5. Murty modified by the teachings of Hyatt/Duncan as seen in claim 1 above, as per claim 4, Murty teaches an information handling system of claim 1, wherein the serial release from the interrupt mode reduces contention by the processors for control of the processor bus and memory (in a series after the first processor to handles the interrupt, releasing each following processor to resume its pre-interruption activities, paragraph [0042]).

6. Murty modified by the teachings of Hyatt/Duncan as seen in claim 1 above, as per claim 6, Murty teaches an information handling system of claim 5, wherein the processor assigned to perform the processing tasks associated with the interrupt is operable to exit from interrupt mode following the release of every other processor from interrupt mode (first logical processor acts as interrupt handler and following release of other processors and execution of the interrupt-handler the first logical processor releases, paragraph [0049]).

7. Claim 3 is rejected under 35 U.S.C. 103(a) as being unpatentable over Murty in view of Hyatt further in view of Duncan further in view of Carmean et al. (US Patent Number 5,809,314, hereinafter “Carmean”).

8. As per claim 3, Murty/Hyatt/Duncan fails to explicitly teach an information handling system wherein the interrupt mode is system management interrupt mode.

Carmean teaches a method for exiting from an interrupt mode in a multiple processor system of claim 14, wherein the interrupt mode is system management interrupt mode (column 3, lines 31 – 47).

It would have been obvious to one of ordinary skill in the art at the time of the applicant’s invention to modify Murty with the above teachings of Carmean. One of ordinary skill would have been motivated to make such modification in order to implement power management functionality to a multiprocessor system (column 3, lines 31 – 47).

9. Claims 7, 8, 10 – 14, 17, 19 and 20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Murty in view of Hyatt further in view of Duncan further in view of Giles (US Patent Number 6,857,084).

10. Murty modified by the teachings of Hyatt/Duncan as seen in claim 1 above, as per claims 7 and 17, Murty teaches a method for exiting from an interrupt in a multiple processor computer system (element 100, figure 1), wherein each of the processors (logical processors, element 120, figure 1) are coupled to a processor bus (channel, element 180, figure 1, bus, element 254, figure 2), comprising the steps of: for each processor, setting an indicator associated with the respective processor (Duncan: via the status of flag set in 90, figure 3) to indicate that the processor is in an interrupt mode, identifying the interrupt handling processor responsible for performing the processing tasks necessary to resolve the interrupt condition (first logical processor reads first value in ICR, paragraph [0033]); identifying the non-interrupt handling processors not responsible for performing the processing tasks necessary to resolve the interrupt condition (for each further logical processor reads a second value in ICR and is deemed as non-interrupt processor, paragraph [0033]); for the interrupt handling processor, performing the processing tasks necessary to resolve the interrupt condition; and for the interrupt handling processor, following the completion of the processing tasks necessary for resolving the interrupt, initiating the exit of the non-interrupt handling processors from interrupt mode, whereby contention by the non-interrupt

handling processors for control of the processor bus is reduced (executes a first segment code to enter the interrupt handler, paragraph [0041], thereafter each processor accesses an indicator flag to express its interrupt handling characteristics, paragraph [0043-0044]).

Murty/Hyatt/Duncan fails to teach a method for exiting from an interrupt in a multiple processor computer system wherein for each non-interrupt handling processor, determining whether each non-interrupt handling processor was in a halt state immediately before entering the interrupt mode; for each non-interrupt handling processor, remaining in an interrupt mode until initiated to exit the interrupt mode by the interrupt handling processor.

Giles analogously teaches a method for exiting from an interrupt in a multiple processor computer system wherein for each non-interrupt handling processor, determining whether each non-interrupt handling processor was in a halt state immediately before entering the interrupt mode (processors are halted as entering the debug mode, column 2, lines 40 – 49); for each non-interrupt handling processor, remaining in an interrupt mode until initiated to exit the interrupt mode by the interrupt handling processor (halting other processors while the interrupt is handled, column 2, lines 1 – 23).

It would have been obvious to one of ordinary skill in the art at the time of the applicant's invention to modify the teachings of Murty/Hyatt/Duncan with the teachings of Giles. One of ordinary skill would have been motivated to make such modification in

order to greatly simplify the task of debugging and interrupt handling in a multiprocessor system (column 2, lines 21 – 23).

11. Murty modified by the teachings of Hyatt/Duncan/Giles as seen in claim 7 above, as per claims 8 and 19, Murty teaches a method for exiting from an interrupt mode in a multiple processor system comprising step of: for the interrupt handling processor, exiting from interrupt mode after each of the non-interrupt handling processors have exited from interrupt mode (non-interrupt handling processors return from the interrupt handler once the interrupt has been claimed and the interrupt handling processor exits therefore after the interrupt has been handled, paragraph [0049]).

12. Murty modified by the teachings of Hyatt/Duncan/Giles as seen in claim 7 above, as per claims 10 and 20, teaches a method for exiting from an interrupt mode in a multiple processor system wherein the step of remaining in an interrupt mode until initiated to exit the interrupt mode by the interrupt handling processor comprises the step of remaining in an interrupt mode until the interrupt handling processor resets (debug reset signal element 32, figure 1) an indicator as an instruction to the non-interrupt handling processor to exit from the interrupt mode (non-interrupt processors, 12a, 12b and 12c are brought out of the interrupt before the interrupt handling processor, column 8, lines 46 – 64).

13. Murty modified by the teachings of Hyatt/Duncan/Giles as seen in claim 7 above, as per claim 11, teaches a method for exiting from an interrupt mode in a multiple processor system comprising the step of, for each non-interrupt handling processor, identifying whether the processor was in a halt state immediately before entering an interrupt mode (state and conditions maintained for examination and evaluation, column 4, lines 39 – 56).

14. Murty modified by the teachings of Hyatt/Duncan/Giles as seen in claim 7 above, as per claim 12, Murty teaches a method for exiting from an interrupt mode in a multiple processor system comprising the step of causing to exit from interrupt mode those non-interrupt handling processors identified as being in a halt state immediately before entering an interrupt mode, without respect to whether the indicator has been reset by the interrupt handling processor (all processors entering the interrupt mode including those with prior halt state that are non-interrupt read a second value in ICR and return to the previous state without regard to any reset, paragraph [0033]).

15. Murty modified by the teachings of Hyatt/Duncan/Giles as seen in claim 7 above, as per claim 13, Murty teaches a method for exiting from an interrupt mode in a multiple processor system of claim 10, wherein the indicator for a respective processor is a bit stored in a memory space associated the respective processor (memory stores interrupt handling instructions, paragraph [0022]).

16. Murty modified by the teachings of Hyatt/Duncan/Giles as seen in claim 7 above, as per claim 14, teaches a method for exiting from an interrupt mode in a multiple processor system of claim 13, wherein the step of initiating on a serial basis the exit of each non-interrupt handling processor from interrupt mode comprises the steps of: resetting a bit associated with a first non-interrupt handling processor (column 8, lines 16 – 29); pausing for a time period (propagation and transition delay, column 8, lines 18 – 21) ; and repeating the steps of resetting and pausing until the interrupt handling processor has initiated the exit of each non-interrupt handling processor from interrupt mode (the debug event de-asserts the debug event signal in bringing out the non-interrupt handling processors, column 8, lines 30 – 44).

18. Claims 16 and 18 are rejected under 35 U.S.C. 103(a) as being unpatentable over Murty/Hyatt/Duncan in view of Giles, and in further view of Carmean.

19. As per claims 16 and 18, the combination of Murty/Hyatt/Duncan and Giles fails to explicitly teach a method for exiting from an interrupt mode in a multiple processor system wherein the interrupt mode is an interrupt mode associated with a system management interrupt.

Carmean teaches a method for exiting from an interrupt mode in a multiple processor system of claim 14, wherein the interrupt mode is an interrupt mode associated with a system management interrupt (column 3, lines 31 – 47).

It would have been obvious to one of ordinary skill in the art at the time of the

applicant's invention to modify the combination of Murty/Hyatt/Duncan and Giles with the above teachings of Carmean. One of ordinary skill would have been motivated to make such modification in order to implement power management functionality to a multiprocessor system (column 3, lines 31 – 47).

***Allowable Subject Matter***

20. Claim 15 is objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

***Response to Arguments***

21. Applicant's arguments with respect to claims 1, 3, 4, 7, 10 - 18 and 20 have been considered but are moot in view of the new ground(s) of rejection.

All of the applicant's arguments were directed to claims regarding serial functionality as well as interrupt indicators in a multiple processor system for which a newly cited rejection has been provided therefor moot.

***Conclusion***

22. Any inquiry concerning this communication or earlier communications from the examiner should be directed to AURANGZEB HASSAN whose telephone number is (571)272-8625. The examiner can normally be reached on Monday - Friday 9 AM to 5:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tariq Hafiz can be reached on (571)272-6729. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

AH

/Tariq Hafiz/  
Supervisory Patent Examiner, Art Unit 2182